### LME Alternative Service Request for Use of DMHDDSAS State Funds

# For Proposed MH/DD/SAS Service Not Included in Approved Statewide IPRS Service Array

Note: Submit completed request form electronically to Wanda Mitchell, Budget and Finance Team, at <a href="Wanda.Mitchell@ncmail.net">Wanda.Mitchell@ncmail.net</a>, and to Spencer Clark, Chief's Office, Community Policy Management Section, at <a href="Spencer.Clark@ncmail.net">Spencer.Clark@ncmail.net</a>. Questions about completing and submitting this form may be addressed to Brenda G. Davis, CPM Chief's Office, at <a href="Brenda.G.Davis@ncmail.net">Brenda.G.Davis@ncmail.net</a> or (919) 733-4670, or to Spencer Clark at <a href="Spencer.Clark@ncmail.net">Spencer.Clark@ncmail.net</a> or (919) 733-4670.

a. Name of LME Johnston		b. Date Submitted	
		3/19/09	
c. Name of Proposed LME Alternative Service Peer	Support Center – YA348		
d. Type of Funds and Effective Date(s): (Check All that Apply)			
State Funds: Effective 7-01-07 to 6-30-08	State Funds: Effective 7-0	)1-08 to 6-30-09	
e. Submitted by LME Staff (Name & Title) Janis Nutt, PhD, Area Director	f. E-Mail Janis.nutt@johnstonnc.com	g. Phone No. 919-989-5500	

#### **Background and Instructions:**

This form has been developed to permit LMEs to request the establishment in IPRS of Alternative Services to be used to track state funds though a fee-for-service tracking mechanism. An LME that receives state single stream or other state non-UCR funding shall use such funding to purchase or start up services included in the Integrated Payment and Reporting System (IPRS) service array and directed towards the approved IPRS target population(s). If the LME wishes to propose the use of state funds for the provision of an Alternative Service that is not included in the IPRS service array, the LME shall submit an *LME Alternative Service Request for Use of DMHDDSAS State Funds*.

This form shall be completed to fully describe the proposed Alternative Service for which Division approval is requested in order to develop an IPRS reporting code and an appropriate rate for the Alternative Service.

Please use the following template to describe the LME's proposed Alternative Service definition and address all related issues using the standard format and content categories that have been adopted for new MH/DD/SA Services.

#### Please note that:

- an individual LME Alternative Service Request form is required to be completed for <u>each</u> proposed Alternative Service;
- a separate Request for Waiver is required to be submitted to the Division for the LME to be authorized by the Secretary to directly provide an approved Alternative Service; and
- the current form is <u>not</u> intended to be utilized in SFY 07-08 for the reporting on the use of county funds by an LME. The Division continues to work with the County Funds Workgroup to establish a mechanism to track and report on the use of county funds through IPRS reporting effective July 1, 2008.

#### **Requirements for Proposed LME Alternative Service**

(Items in italics are provided below as examples of the types of information to be considered in responding to questions while following the regular Enhanced Benefit Service definition format.

Rows may be expanded as necessary to fully respond to questions.)

#### Complete items 1 though 28, as appropriate, for all requests.

## 1 Alternative Service Name, Service Definition and Required Components Peer Support Center

A Peer Support Center offers a central location for adult consumers with severe/serious mental illness or co-occurring disorders to access self-help skills, advocacy, education, and socialization. Consumer operated peer support centers develop their own programs to supplement existing mental health services and support services. Center staff members promote the involvement of consumers in their own treatment and recovery and assist consumers in acquiring the necessary skills for the utilization of resources within the community. Major components of a peer support center include:

- Providing opportunities for socialization to reduce social isolation felt by many adults with mental illness or co-occurring disorders.
- Providing educational opportunities to assist consumers in directing their own recovery process.
- Providing emotional support.

Programs and activities around these components follow the Illness Management & Recovery, a SAMHSA-approved, evidence-based practice. An example of an illness management and recovery tool that is being implemented by many peer support centers is the "Wellness Recovery Action Plan" (WRAP), which was created by Mary Ellen Copeland to assist consumers in managing, reducing and eliminating psychiatric symptoms.

- 2 Rationale for proposed adoption of LME Alternative Service to address issues that cannot be adequately addressed within the current IPRS Service Array
  - Consumer special services need(s) outside of current service array
  - Special service delivery issues

Johnston County Mental Health Center is very committed to building and enhancing systems of care for all populations. For adult consumers with mental illness or co-occurring disorders, peer support centers represent an essential part of a continuum of care that promotes long term wellness and recovery. Studies indicate that peer support centers provide a supportive social environment and, through activities, education and fellowship, help increase engagement in productive activities, and decrease substance use and hospitalizations. National consumer experts have found that peer support centers help consumers find meaningful relationships and peer support, solve problems of daily living, advocate for access to needed services, and improve quality of life. Given the consistent findings of decreased hospitalization or shortened length of hospital stay for consumers who participate in peer support centers, as well as peer providers themselves, there is a translation of financial savings to the system as hospitalization is one of the most expensive of mental health services.

Peer support centers provide a non-discriminatory atmosphere in which consumers develop Rehabilitative and recovery skills needed to enable full reintegration into community activities and successful independent living. These centers also reduce reliance upon more costly professional services and programs. Peer Support Centers also offer evening and weekend hours to consumers.

Description of service need(s) to be addressed exclusively through State funds for which Medicaid funding cannot be appropriately accessed through a current Medicaid approved service definition

	traditional profession Even in conjunction and confidence to conjuncted increase independent	s provide a set of services and supports to consumers that are uniquely different from nal services and thereby enhance the long term recovery process for consumers. With needed professional services, peer support centers promote skills, knowledge, consumers that have been shown to reduce social isolation, improve self-concept, ace, increase ability to ask for help, reduce likelihood of hospitalization, and increase
4	control over one's li	
4		LME's Consumer and Family Advisory Committee (CFAC) review and the proposed LME Alternative Service: (Check one)
	X Recommen	nds Does Not Recommend Neutral (No CFAC Opinion)
5	Projected Annual N Alternative Service:	umber of Persons to be Served with State Funds by LME through this 50
6	Estimated Annual A Service: \$70,000	mount of State Funds to be Expended by LME for this Alternative
7	Eligible IPRS Targe	t Population(s) for Alternative Service: (Check all that apply)
	Assessment Only:	□AII □CMAO □AMAO □CDAO □ADAO □CSAO □ASAO
	Crisis Services:	□AII □CMCS □AMCS □CDCS □ADCS □CSCS □ASCS
	Child MH:	□AII □CMSED □CMMED □CMDEF □CMPAT □CMECD
	Adult MH:	X AII
	Child DD:	CDSN
	Adult DD:	□AII □ADSN □ ADMRI
	<u>Child SA</u> :	□AII □CSSAD □CSMAJ □CSWOM □CSCJO □CSDWI □CSIP □CSSP
	Adult SA:	X AII
	Comm. Enhance.:	□AII □CMCEP X □AMCEP □CDCEP X□ADCEP X□ASCEP □CSCEP
	Non-Client:	□ CDF
8	Definition of Reimb	ursable Unit of Service: (Check one)
	☐ Service Event	X 15 Minutes  Hourly  Daily  Monthly
	☐ Other: Explain_	
9	Proposed IPRS Ave	rage Unit Rate for LME Alternative Service
	service within differe	unit rate is for Division funds, the LME can have different rates for the same nt providers. What is the proposed <u>average</u> IPRS Unit Rate for which the mburse the provider(s) for this service?
		\$1.90
10		Methodology for Determination of Proposed IPRS <u>Average</u> Unit Rate id Rate for similar service (Community Support Group Paraprofessional).

11	Provider Organization Requirements  At least 3 staff persons, all of whom are Certified Peer Support Specialists, supplemented by qualified volunteers. An accessible location/building that serves at the Peer Support Center facility.	
12	Staffing Requirements by Age/Disability (Type of required staff licensure, certification, QP, AP, or paraprofessional standard) Certified Peer Support Specialist, with a history of Mental Health and/or Substance Abuse problems or a family member of someone with these problems. Peer Support Specialists with a history of mental health and/or substance abuse problems must be in active recovery with another program other than the Peer Support Center, and have at least two years of abstinence and without psychiatric hospitalizations, Staff and volunteers must have a high school education or GED. Qualified volunteers with a history of Mental Health and/or Substance Abuse problems or a family member of someone with these problems. Volunteers must have training in Recovery and at least two years post psychiatric hospitalization and/or two years of abstinence.	
13	Program and Staff Supervision Requirements  All staff and volunteers are under supervision of a Certified Peer Support Specialist.	
14	Requisite Staff Training      Wellness training such as WRAP     Recovery Training     Ethics     HIPAA/Confidentiality     CPR	
15	Service Type/Setting This service is provided primarily in a non-clinic drop-in center setting or in the community.	
16	Program Requirements It is recommended:  • to not exceed 7 consumers per staff ratio  • to not exceed 10 participants for group size  • to allow consumers to choose frequency of contact	
17	Entrance Criteria  A. Consumer is at least 18 years of age AND  B. Consumer requests or accepts support for recovery in managing mental health and/or substance abuse problems.	
18	Entrance Process  Participants may be referred by a service provider, other community agency, family member, or may hear about the peer support center through members of the community, flyers, or other publications. Participants contact the center, and complete a registration form which provides basic contact information. This service may be included in a Person Centered Plan, but is also available to persons who are not in formal treatment who initiate and determine frequency of contact.	
19	Continued Stay Criteria A. Consumer still desires support in their recovery.	
20	Discharge Criteria  A. Consumer no longer wishes to participate.  OR  B. Consumer violates facility rules and the director deems it necessary suspend the consumer from the peer support center. The director should review all recommendations for suspension, and the center should offer an appeal's process.	

21	<ul> <li>Evaluation of Consumer Outcomes and Perception of Care</li> <li>MH/SA Consumer (Satisfaction) Surveys</li> <li>Evaluation of functional outcomes in the consumer's person centered plan (if applicable)</li> <li>Decreased hospitalizations (frequency and length)</li> <li>Increased compliance with treatment</li> <li>Employment</li> </ul>			
22	Service Documentation Requirements  • Is this a service that can be tracked on the basis of the individual consumer's receipt of services that are documented in an individual consumer record?   — Yes x No If "No", please explain. This service is offered to all interested persons who may or may not have an open Mental Health Record with a treatment provider.			
	The provider (peer support center) keeps a daily record of persons attending the program and the range of activities offered.			
23	Service Exclusions None			
24	Service Limitations None			
25	<ul> <li>Evidence-Based Support and Cost Efficiency of Proposed Alternative Service         See Section 2.</li> <li>Provide other organizational examples or literature citations for support of evidence         base for effectiveness of the proposed Alternative Service</li> </ul>			
26	LME Fidelity Monitoring and Quality Management Protocols for Review of Efficacy and Cost-Effectiveness of Alternative Service  Review staff records for compliance with Certification of Peer Support Specialists Consumer Surveys/interviews			
27	LME Additional Explanatory Detail (as needed)			